

Context

Facial expressions play a crucial role in assessing others' affective states. However, pain facial expressions (PFE) encounter challenges in recognition, often being confused with other negative affective states¹ and being less easily perceived in women's faces². Studies have identified various configurations of PFE³, but it remains unclear whether some of these configurations are more easily recognizable, potentially explaining disparities in perceived pain based on face gender. This study investigates potential gender and sex differences in the configurations of PFE (encoding) as well as their perception by external observers (decoding).

Method

We used 189 pictures (77 women) of White women and men posing PFE from the Delaware Pain Database (DPD)⁴.

Decoding: To verify if PFE are decoded differently as a function of face gender, we used averaged ratings (~44 observer's ratings / picture) previously collected and openly available within the DPD.

Task : 7-point Likert-type sliders (e.g., "How much does this face look like it is in physical pain?", 1 = not at all; 7 = extremely).

- **Affective states we analyzed:** • Joy Pain
- \bullet
- Anger • Sadness
- Surprise
- Sadness
- Fear

Encoding : To investigate potential differences in PFE encoding between women and men, we used OpenFace⁵ to measure the activation levels of 17 action units (AUs) in the 189 pictures extracted from the DPD.



Figure 1. Examples of faces from the DPD



Figure 2. OpenFace pipeline including facial landmark disposition and action units' recognition.

References

1. Kappesser, J., & De Williams, A. C. (2002). Pain and negative emotions in the face: Judgements by health care professionals. Pain, 99(1), 197–206. https://doi.org/10.1016/S0304-3959(02)00101-X 2. Riva, P., Sacchi, S., Montali, L., & Frigerio, A. (2011). Gender effects in pain detection: Speed and accuracy in decoding female and male pain expressions. European Journal of Pain, 15(9). https://doi.org/10.1016/j.ejpain.2011.02.006 3. Kunz, M., & Lautenbacher, S. (2014). The faces of pain: A cluster analysis of individual differences in facial activity patterns of pain: Clustering facial expressions of pain. European Journal of Pain, 18(6), 813-823. https://doi.org/10.1002/j.1532-2149.2013.00421.x 4. Mende-Siedlecki, P., Qu-Lee, J., Lin, J., Drain, A., & Goharzad, A. (2020). The Delaware pain database: A set of painful expressions and corresponding norming data. Pain reports, 5(6), e853. http://dx.doi.org/10.1097/PR9.00000000000853 5. Baltrušaitis, T., Robinson, P., & Morency, L. P. (2016, March). Openface: an open source facial behavior analysis toolkit. In 2016 IEEE winter conference on applications of computer vision (WACV) (pp. 1-10). IEEE.

Gender differences in the encoding and decoding of pain facial expressions

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Results Decoding

<u>A 2 (genders) x 7 (affective states) mixed ANOVA:</u> **Genders** [$F(1, 187)=11.92, p<.001, \eta^2_{p}=.06$] **Interaction** [$F(3.8, 710.66) = 7.42, p < .001, \eta^2_{p} = .04$]

<u>*T*-tests</u> confirmed significantly higher perception of fear, sadness and surprise in PFE of women than men, while pain is significantly more perceived in PFE of men.



Figure 3. Bar graph representing the degree to which each affective states were perceived in PFE of women and men. Error bars represent the 95% confidence intervals.

Linear regression models

We conducted four linear regression models, one for each affective state with significant decoding differences. We • Our results revealed that observers tend to perceive examined whether AUs components and gender predict the decoding of affective states in PFE.

Affective States	Components					
	1	2	3	4	5	
Fear		.16				.31
		(.02)				(<.001)
Sadness					.28	.30
					(<.001)	(<.001)
Surprise		.66	.12			.17
		(<.001)	(.02)			(.003)
Pain	.51	.27		.34		
	(<.001)	(<.001)		(<.001)		

igure 5. Table showing significant results of linear regression models conducted on the four affective states where significant differences were found. P value is presented in parentheses under the coefficients β .

Affective states [$F(3.8, 710.66) = 55.08, p < .001, \eta^2_{p} = .23$]



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Encoding

A Principal Component Analysis (PCA) revealed five components of AUs with correlated activations. *T*-tests showed that the first component was significantly more present in men's faces, with no significant differences in other components. Notably, the first component gathers AUs typically associated with PFE.

A) Summary of PCA from OpenFace

Action Units	Components						
	1	2	3	4	5		
AU1	-,226	,673	-,022	,126	,072		
AU2	-,183	,816	-,031	-,066	-,081		
AU4	,300	-,285	,108	,599	-,077		
AU5	-,158	,820	,006	-,186	-,046		
AU6	,927	-,207	-,101	-,020	,032		
AU7	,761	-,283	,074	,175	-,246		
AU9	,729	-,252	,029	,265	-,340		
AU10	,880	-,128	-,133	,148	-,048		
AU12	,791	-,004	-,287	-,281	,295		
AU14	,736	-,171	,158	-,027	,333		
AU15	-,106	,076	,612	,304	,045		
AU17	-,111	-,038	,888	,090	,020		
AU20	,009	-,046	,102	,119	,893		
AU23	,280	,001	,754	-,154	,097		
AU25	,377	,193	-,666	,357	,050		
AU26	-,047	,396	-,031	,632	,028		
AU45	,002	-,337	-,012	,543	,248		

Figure 4. A) Table showing loadings for the 17 AUs on the five components extracted from the PCA. Factor loadings over .40 appear in bold. B) Visual representation of the expression configurations when activating the AUs reaching a loading greater than .40 in each of the five components revealed by the PCA. A White androgynous avatar face produced with FaceGen was used.

Discussion

- in women's PFE.

results for AUs ex	tracted E
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more fear, sadness and surprise in PFE of women, while they perceive more pain in men's PFE.

• We also found that AUs typically associated with PFE tend to be more present in men's PFE.

• Finally, we found that activation of certain AUs drive the observer's perception of affective states in PFE, but beyond that, the gender of the face itself exacerbate perception of fear, sadness and surprise

• These results highlight the need to raise awareness of disparities in pain perception as they might lead to lack of care in the medical field.

